

Japan Society, Inc. 333 East 47th Street, New York, NY 10017 www.japansociety.org

CORPORATE BREAKFAST:

Minimizing Legal and Compliance Risks: Strategies for Multinational Financial Services Firms Operating in the U.S.

DATE: Tuesday, May 25, 2010

TIME: 8 - 10 AM

As regulators in the U.S. and abroad tackle the issue of financial regulatory reform in the post-global economic crisis era, the ability of multinational financial services firms operating in the U.S. to stay within the bounds of shifting legal and compliance parameters is critical not only to their success but to their very survival. A distinguished panel of legal and compliance experts cover key employment issues such as wage and hour class actions, bonus and benefit matters and the use of social networking sites. Compliance experts will speak about corporate compliance issues from immigration and trade compliance to global labor, environmental, social and governance matters.

AGENDA:

8 - 8:30 am Registration & buffet breakfast

8:30 - 10 Panel Discussion

Speakers:

Stewart Scott

Executive Vice President, Chief Compliance Officer and Compliance Counsel, Daiwa Capital Markets America Inc.

William Milani

Member of the Firm, Epstein Becker & Green P.C.

Robert Reif

Member of the Firm, Epstein Becker & Green P.C.

Robert Groban, Jr.

Member of the Firm, Epstein Becker & Green P.C.

Michael Levine

Member of the Firm, Epstein Becker & Green P.C.



<u>Admission</u>: This is a free event open to the public, but you must pre-register for the program. Seating is limited and available on a first come, first served basis.

To register for this event, please visit www.japansociety.org/corporateevents or email register@japansociety.org.

For additional information, please call the Corporate Program at 212-715-1208.